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09/765,475	•	01/18/2001	William H. Zebuhr	105019-0012	105019-0012 9889	
25181	7590	04/01/2004		EXAMINER		
FOLEY HO		P ORLD TRADE CEN	LEUNG, JENNIFER A			
155 SEAPO			TER WEST	ART UNIT	PAPER NUMBER	
BOSTON, MA 02110				1764		

DATE MAILED: 04/01/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

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· i		Application No.	Applicant(s)	<b>-</b>					
	Office Action Summan	09/765,475	ZEBUHR, WILLIAM H.						
	Office Action Summary	Examiner	Art Unit						
		Jennifer A. Leung	1764						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply									
THE   - Exter after - If the - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR REPI MAILING DATE OF THIS COMMUNICATION. Insions of time may be available under the provisions of 37 CFR 1. SIX (6) MONTHS from the mailing date of this communication. In period for reply specified above is less than thirty (30) days, a represent of the reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by statusticed parently in the set or extended period for reply will, by statusticed patent term adjustment. See 37 CFR 1.704(b).	.136(a). In no event, however, may a reply be ply within the statutory minimum of thirty (30) d I will apply and will expire SIX (6) MONTHS fro te, cause the application to become ABANDON	timely filed  ays will be considered timely.  m the mailing date of this communication.  NED (35 U.S.C. § 133).						
Status									
1)	Responsive to communication(s) filed on	•							
2a)□		is action is non-final.							
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.								
Dispositi	ion of Claims								
5)□ 6)⊠ 7)□	Claim(s) 1-29 is/are pending in the application 4a) Of the above claim(s) is/are withdra Claim(s) is/are allowed. Claim(s) 1-29 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and/	awn from consideration.							
Applicati	ion Papers								
10)⊠	The specification is objected to by the Examination The drawing(s) filed on <u>26 August 2002</u> is/are Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Examination is objected to by the Examination is objected.	: a)⊠ accepted or b)⊡ objected e drawing(s) be held in abeyance. S ction is required if the drawing(s) is c	See 37 CFR 1.85(a). Objected to. See 37 CFR 1.121(d).						
Priority (	under 35 U.S.C. § 119								
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>									
2) Notic	t(s) se of References Cited (PTO-892) se of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08 or No(s)/Mail Date 1/18/01; 8/9/02-; 12/15/0	4)  Interview Summa Paper No(s)/Mail 3)  5)  Notice of Informa 6)  Other:							

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#### **DETAILED ACTION**

## Response to Amendment

1. The changes made to the specification and drawings in the Preliminary Amendment submitted on August 26, 2002 are acceptable.

## Drawings

2. FIG. 20 is objected to because reference character "288" should be changed to -- 266 -- for proper indication of the "folded sheet's flanges" set forth on page 18, lines 21-23. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection will not be held in abeyance.

## Specification

- 3. The disclosure is objected to because of the following informalities:
  - On page 17, line 26, "concentrate outlet 16" should be changed to -- condensate outlet 14
     -- for consistency with the drawings.
  - On page 18, line 5, "modules 102, 104, 106, 108" should be changed to -- modules 102,
     104, 106, 108 and 100 -- for consistency with the drawings.

Appropriate correction is required.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 1-29 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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Regarding claim 1, the phrase, "can be" (lines 2, 4) is considered vague and indefinite, since whether an element "can" function in a given manner does not constitute a positive structural limitation. See also claim 18 (lines 3, 5) and claim 24 (lines 2, 4).

Regarding claim 3, "the liquid collector" (line 4) lacks proper positive antecedent basis. Furthermore, it is unclear as to its structural relationship to the other elements of the apparatus.

Regarding claims 10 and 12, "the piston" (line 2) lacks proper positive antecedent basis.

Regarding claim 13, the phrase, "can conduct" (line 2) is considered vague and indefinite, whether an element "can" function in a given manner does not constitute a positive structural limitation. See also claim 20 (line 2) and claim 26 (line 2).

Regarding claims 7, 9, 17, 25 and 29, the language of the claims is drawn to a method limitation that renders the claims vague and indefinite, as it is unclear as to the structural limitation applicant is attempting to recite by, "the average duration of the recirculation cycles is at least fifty times that of the flush cycles," since the recirculation and flush cycles are not considered elements of the apparatus.

#### Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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5. Claims 1-29 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-24 of U.S. Patent No. 6,689,251 in view of Hartman et al. (US 5,587,055).

US '251 substantially claims the evaporator unit and method of evaporating a liquid as instantly recited in claims 1-29. However, US '251 is silent as to claiming a filter interposed in the make-up path, such that during a flush state, liquid (i.e., the concentrate) is diverted from the recirculation path into the filter. Hartman et al. (FIG. 1) teaches a water distillation apparatus and method, wherein a filtering means 246, 252 is provided to allow liquid that has passed through the evaporator 24 without evaporating to be safely discharged to a standard water drainage pipe (not shown). It would have been obvious for one of ordinary skill in the art at the time the invention was made to supply a filter to the evaporator unit and method of US '252, because the filter would enable the concentrate to be safely discharged from the evaporator unit by removing the contaminants contained in the concentrate, thereby being in compliance with local regulations that prohibit the drainage of contaminants into the normal tap water drainage system, as taught by Harman et al. (column 2, lines 11-17; column 9, lines 1-14).

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 6. Claims 1-4, 11, 13, 14, 16, 24, 26 and 27 are rejected under 35 U.S.C. 102(b) as being anticipated by Hartman et al. (US 5,587,055).

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Regarding claims 1 and 24, Hartman (FIG. 1; generally, column 4, line 17 to column 5, line 67; column 8, line 60 to column 9, line 15) discloses an evaporator unit comprising: a feed inlet (i.e., the inherent inlet to line 12);

a heat exchanger including heat transfer surfaces that form at least one evaporation chamber (i.e., heating pan 26 defining an evaporator unit 24, having a heating element 32);

a make-up liquid guide that defines a make-up path (i.e., line 12 and inner conduit 22) to direct

liquid from the inlet at line 12 to the evaporation chamber 24;

a filter interposed in the make-up path (i.e., a water filter, not shown; column 4, lines 21-24);

a recirculation guide that defines a recirculation path (i.e., via pump 95, valve 246; column 9,

lines 9-15) that returns to the evaporation chamber **24** liquid that has passed through the chamber without evaporating; and

a transfer valve (i.e., valve 246) interposed in the recirculation path and operable between:

a recirculation state (i.e., valve 246 being open), which permits liquid that has passed through the evaporation chamber 24 without evaporating to return along the recirculation path to the chamber 24; and

a flush state (i.e., valve 246 being closed), which diverts liquid from the recirculation path into the filter (not shown) in line 12.

Regarding claim 2, Hartman (FIG. 1; column 4, lines 17-51) discloses a refresh-liquid reservoir (i.e., preheating bowl 21), a feed-liquid-storage guide that defines a feed-liquid-storage path (i.e., line 12) that directs liquid from the feed inlet to the refresh-liquid reservoir 21, and a refresh guide that defines a refresh path (i.e., inner conduit 22) along which it directs liquid from the refresh-liquid reservoir 21 to evaporation chamber 24.

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Regarding claims 3 and 4, the same comments apply. Furthermore, Hartman (FIG. 1; column 8, line 60 to column 9, line 14) discloses a concentrate reservoir (i.e., preheating bowl 21) and a concentrate-storage guide defining a concentrate-storage path (i.e., via valve 250 and line 12) that directs liquid from the liquid collector (i.e., collected water 34) through the filter in line 12 (not shown) and into the concentrate reservoir 21.

Regarding claim 11, Hartman discloses a concentrate outlet and a concentrate discharge guide defining a concentrate-discharge path to direct liquid from concentrate reservoir 21 to the concentrate outlet (i.e., by opening valve 244 in the discharge pipe; column 9, lines 1-7; FIG. 1).

Regarding claims 13 and 26, Hartman discloses at least one condensation chamber (i.e., steam bowl condenser 40; column 4, lines 52-58; FIG. 1).

Regarding claims 14 and 27, Hartman (column 4, lines 52-58; FIG. 1) discloses a vapor guide (i.e., outer tube 38) that directs vapor (i.e., steam 36) along a vapor path from the evaporation chamber 24 to the condensation chamber 40.

Regarding claim 16, Hartman discloses a valve operator for operating the transfer valve **246** alternately to define flush cycles and recirculation cycles (i.e., according to Autoclean logic **232**; FIG. 1, 3; column 8, line 31 to column 9, line 15)

Instant claims 1-4, 11, 13, 14, 16, 24, 26 and 27 structurally read on the apparatus of Hartman.

7. Claims 18 and 20 are rejected under 35 U.S.C. 102(b) as being anticipated by Aronson et al. (US 3,405,037).

Regarding claim 18, Aronson et al. (FIG. 2; generally, column 4, line 6 to column 5, line 19) discloses a method for evaporating a liquid, the method comprising:

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providing an evaporator unit comprising:

a feed inlet (i.e., the inlet of line 20);

a heat exchanger including heat transfer surfaces that form at least on evaporation chamber (i.e., defined by flash heaters 112);

a make-up liquid guide that defines a make-up path to direct liquid from the inlet

20 to the evaporation chambers of heaters 112 (i.e., via lines

22/26/38/109); and

a filter (i.e., filtering means 28a, 28b) interposed in the make-up path 22/26/38/109 to filter liquid that flows therethrough;

introducing an inlet flow of liquid into the feed inlet 20 (i.e., effluent from a building drain, not shown);

during recirculation cycles, returning to the evaporation chambers of heaters 112 liquid that has passed therethrough without evaporating (i.e., by operation of pump 110 along a recirculation path defined by lines 115/118/109); and

during flush cycles, flushing the filter 28a, 28b by directing thereinto liquid that has passed through the evaporation chambers of heaters 112 without evaporating (i.e., by operation of pump 122, causing a flow of concentrate through recycle line 120 and subsequently filters 28a, 28b).

Inherently, the recirculation cycles are "relatively long" and the flush cycles are "relatively short", as evidenced by the disclosure which states,

"The product leaving the coolest stage of the heater 100 will pass in line 118 to combine with the degassed feed of line 109 to be pumped back into the heater 112 for further heating and flashing, except for a small amount in line 120 blown down and pumped out by means of pump 122," (column 4, lines 58-63),

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and with regards to the embodiment of FIG. 1,

"A blowdown line 66 may be connected between boiler 44 and settling tank 18 wherein a small portion of the fluid in the boiler is discarded through the blowdown line 66 as is common in boiler practice," (column 3, lines 41-44).

The "small amount" or "small portion" of fluid blown down inherently indicates a shorter flush cycle, relative to the recirculation cycle.

Regarding claim 20, Aronson et al. discloses at least one condensation chamber (i.e., condensate collecting portion 126 of heater 112; FIG. 2; column 4, lines 35-70).

Instant claims 18 and 20 read on the method of Aronson et al.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 17-21, 23, 25 and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hartman et al. (US 5,587,055).

Hartman additionally discloses a method of operating the evaporator unit as disclosed in claims 1, 13, 14, 24, 26 and 27 above, wherein during recirculation cycles, liquid 34 that has passed through the evaporation chamber 24 without evaporating is returned to the evaporation chamber 24, upon opening valve 246; and during flush cycles, liquid 34 that has passed through the evaporation chamber 24 without evaporating is directed to the filter (not shown) in line 12, upon closing valve 236 and diverting liquid through valve 250. Furthermore, Hartman

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(emphasis added; column 8, lines 31-68) discloses,

"Autoclean logic 232 receives status input signals... Depending on these status input signals, autoclean logic 232 sends a signal to autoclean timing logic 234 which activates, for a specific time period, the autoclean pump 95 through autoclean drive 236," and

"... at times selected as described in connection with the status signals received from full water probe 70, heater probe 204, or the several fault probes 20, 206, 238, and 240, autoclean pump is activated to remove water from heating pan 26."

Although Hartman is silent as to the average duration of the "specific time period", it would have been obvious for one of ordinary skill in the art at the time the invention was made to select an appropriate average duration for each of the recirculation and flush cycles in the method and apparatus of Hartman, because the relative durations of each cycle would have been considered a result effective variable for one of ordinary skill in the art (i.e., as dependent on the status input signals generated for a given feed composition, concentrate contaminant level, etc.), and it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art, *In re Aller*, 105 USPQ 233.

9. Claims 1-4, 11, 13-17, 19 and 21-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Aronson et al. (US 3,405,037).

Regarding claims 1, 16 and 24, Aronson et al. (FIG. 2; generally, column 4, line 6 to column 5, line 19) discloses an evaporator unit comprising:

a feed inlet (i.e., the inlet of line 20);

a heat exchanger including heat transfer surfaces that form at least one evaporation chamber (i.e., defined by flash heaters 112);

a make-up liquid guide that defines a make-up path to direct liquid from the inlet 20 to the evaporation chambers of heaters 112 (i.e., via lines 22/26/38/109);

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a filter interposed in the make-up path 22/26/38/109 (i.e., filtering means 28a, 28b);

a recirculation guide that defines a recirculation path that returns to the evaporation chamber 112 liquid that has passed through the evaporation chamber 112 without evaporating (i.e., via lines 115/118/109); and

a pumping system (i.e., comprising pumps 110, 122) interposed in the recirculation path 115/118/109 and operable between:

a recirculation state, which returns liquid that has passed through the evaporation chambers without evaporating along the recirculation path 115/118/109 to the evaporation chambers (i.e., by operation of pump 110) and

a flush state, which pumps liquid from the recirculation path 115/118/109 into the filter 28a, 28b (i.e., by operation of pump 122, causing flow through recycle line 120 and subsequently filters 28a, 28b).

Aronson et al. (with emphasis added; column 4, lines 57-63; FIG. 2) further discloses that,

"The product leaving the coolest stage of the heater 100 will pass in line 118 to combine with the degassed feed of line 109 to be pumped back into the heater 112 for further heating and flashing, except for a small amount in line 120 blown down and pumped out by means of pump 122."

However, Aronson et al. are silent as to the apparatus further comprising a transfer valve interposed in the recirculation path 115/118/109, for regulating the flow direction of the concentrate being "pumped back into the heater 112" (i.e., as a recirculation state) versus the "small amount in line 120 blown down and pumped out," (i.e., as a flush state).

In any event, it would have been obvious for one of ordinary skill in the art at the time the invention was made to supply a transfer valve to the recirculation path 115/118/109 in the

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apparatus of Aronson et al. (i.e., for regulating the flow of concentrate being recirculated to the evaporator 112 versus the flow of concentrate being sent to filters 28a, 28b) because the provision of valve means in branched flow lines for setting flow direction is conventional knowledge to one having ordinary skill in the art. This is further evidenced by Aronson et al., who teaches three-way valves 30, 32, 34 and 36 being interposed in the interconnecting locations of conduits 26, 26b, 72a, 72b, 70a and 70b, for setting the direction of fluid flow through filtering means 28a, 28b (FIG. 1, 2; column 2, lines 50-70).

Regarding claim 2, Aronson et al. (FIG. 2) discloses a refresh-liquid reservoir (i.e., settling tank 18); a feed-liquid-storage guide that defines a feed-liquid-storage path that directs liquid from the feed inlet to the refresh-liquid reservoir 18 (i.e., the path as defined by line 20); and a refresh guide that defines a refresh path along which it directs liquid from the refresh-liquid reservoir 18 to the evaporation chamber 112 (i.e., as defined by lines 22/26/38/109).

Regarding claims 3 and 4, the same comments apply. Furthermore, Aronson et al. (FIG. 2) disclose a concentrate reservoir (i.e., settling tank 18) and a concentrate-storage guide defining a concentrate-storage path (i.e., lines 115/18/120) that directs liquid from the liquid collector into the concentrate reservoir 18.

Regarding claim 11, Aronson et al. disclose a concentrate outlet (i.e., the outlet of discharge line 74; FIG. 2); and a concentrate discharge guide (i.e., the discharge line 74) defining a concentrate-discharge path along which it directs liquid from the reservoir 18 to the outlet.

Regarding claims 13 and 26, Aronson et al. discloses at least one condensation chamber (i.e., condensate collecting portion 126 of heater 112; FIG. 2; column 4, lines 35-70).

Regarding claims 14, 15, 21, 22, 27 and 28, as illustrated in the embodiment of FIG. 2,

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Aronson et al. discloses flash heaters 112 having a vapor path leading from the evaporation chambers (i.e., the lower chambers of heaters 112) to the condensation chambers (i.e., the upper chambers 126 of heaters 112), as defined by the upward flow of the "flashed" vapors. The FIG. 2 embodiment, however, lacks a vapor guide and compressor combination that is operable to make the vapor pressure in the condensation chamber greater than the evaporation chamber. In any event, it would have been obvious for one of ordinary skill in the art at the time the invention was made to substitute a different heater configuration (i.e., one having a vapor guide and compressor) for the flash heaters 112 in Figure 2 of Aronson et al., because the substitution of known equivalent structures and the substitution of one known equivalent technique for another involves only ordinary skill in the art, In re Fout 213 USPQ 532 (CCPA 1982); In re Susi 169 USPO 423 (CCPA 1971); In re Siebentritt 152 USPO 618 (CCPA 1967); In re Ruff 118 USPO 343 (CCPA 1958), Ex parte Novak 16 USPQ 2d 2041 (BPAI 1989), In re Mostovych 144 USPQ 38 (CCPA 1964); In re Leshin 125 USPQ 416 (CCPA 1960); Graver Tank and Manufacturing Co. v. Linde Air Products Co. 85 USPQ 328 (USSC 1950). Aronson et al. illustrates the conventionality of using such a heater configuration for evaporating liquid in the embodiment of FIG. 1. In particular, Aronson et al. (column 2, line 35 to column 4, line 5) teaches a heater/boiler 44 comprising an evaporation chamber (i.e., the shell side of boiler 44, in contact with the outer surface of heating tube bundle 58) and a condensation chamber (i.e., the tube side of boiler 44, in contact with the inner surface of heating tube bundle 58), wherein the heater/boiler 44 comprises a vapor guide (i.e., as defined by steam header 50, line 54 and manifold 56) that directs vapor along a vapor path from the evaporation chamber to the condensation chamber. The heater/boiler 44 further comprises a compressor 52 disposed in the

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vapor path such that the vapor pressure in the condensation chamber (i.e., about 15 psig) is greater than the evaporation chamber (i.e., about 12 psig).

Regarding claims 17, 19, 23, 25 and 29, the average duration of recirculation is not considered an element of the apparatus, and therefore the apparatus of Aronson et al. meets the claims. Regarding the corresponding method, Aronson et al. (emphasis added; column 4, lines 57-63; FIG. 2) discloses,

"The product leaving the coolest stage of the heater 100 will pass in line 118 to combine with the degassed feed of line 109 to be pumped back into the heater 112 for further heating and flashing, except for a small amount in line 120 blown down and pumped out by means of pump 122."

The recirculation state or cycle (i.e., when the liquid is "pumped back into the heater") will inherently have an average duration that is longer than the flush state (i.e., when the contents in line 120 are "blown down and pumped out"), as evidenced by the flushing or removal of only a "small amount" of concentrate in line 120, relative to the amount flowing through the recirculation path. Although Aronson is silent as to the specifically recited duration ratio, it would have been obvious for one of ordinary skill in the art at the time the invention was made select a suitable average duration for the recirculation state or cycle relative to the average duration of the flush state or cycle (i.e., such as the recited ratio of at least 50:1) in the method of Aronson et al., since the relative durations would have been considered result effective variables to one having ordinary skill in the art, and it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art, In re Aller, 105 USPQ 233.

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#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer A. Leung whose telephone number is (571) 272-1449. The examiner can normally be reached on 8:30 am - 5:30 pm M-F, every other Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn A. Caldarola can be reached on (571) 272-1444. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jennifer A. Leung March 17, 2004

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PRIMARY EXAMINER